

Republic of Uzbekistan
Livable and Productive Cities PforR
(IPF Component)
P508451

ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)

Negotiated Version
October 15, 2025

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Uzbekistan (the Borrower) will implement the Uzbekistan Livable and Productive Cities PforR (the Project), with the involvement of the Ministry of Economy and Finance (MoEF), as set out in the Financing Agreement (the Agreement). The International Bank for Reconstruction and Development Bank (the Bank) has agreed to provide financing for the Project, as set out in Agreement. The operation consists of a Program-for-Results Financing component (the Program) and an Investment Project Financing (IPF) component (the Project).
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the Borrower shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the Borrower agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower's Representative specified in the Agreement or the Minister of the Economy and Finance and the Program Coordination Office (PCO). The Borrower shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT¹			
A	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Program Coordination Office (PCO) at national level and Regional Development Working Groups (RDWGs) with qualified staff and resources to support management of environmental, social, health and safety (E&S) risks and impacts of the Project. Hire at least one Social Specialist and one Environmental Specialist at national PCO, assign staff of the existing relevant government agencies to the RTDWGs, who will be responsible for managing environmental, social (including land screening, stakeholder engagement/consultations and GRM) and OHS risks at the regional level.</p>	Establish PCO and RDWGs and hire/assign specialists at national and regional levels within 90 days of effectiveness, and thereafter maintain these specialists throughout project implementation	MoEF/PCO/Regional hokimiyats
B	<p>CAPACITY BUILDING PLAN/MEASURES</p> <p>Prepare and implement the capacity building plan on E&S risk management for the Project.</p>	Prepare the capacity building plan within 90 days of effectiveness and implement it throughout project implementation	PCO
MONITORING AND REPORTING			
C	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (E&S) performance of the Project, including, but not limited to the implementation of ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of grievance mechanism.</p>	Submit semi-annual reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 30 days after the end of each reporting period	PCO
D	<p>INCIDENTS AND ACCIDENTS</p> <p>Notify the Bank of any incident or accident relating to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank, as requested.</p>	PCO/RTDWGs
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.1	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that terms of reference of studies, capacity building, training, Project coordination role, and consultancy for Independent Verification Agency are carried out in a manner satisfactory to the Bank and are consistent with the requirements of ESSs. Thereafter, ensure that the output of such activities comply with the terms of reference.</p>	Throughout Project implementation.	PCO
1.2	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Proportionate measures in TORs/outputs for technical assistance to address downstream risks and ensure consistency with relevant ESSs. Stakeholder engagement is embedded in the Program that is being supported by the Project.</p>	Throughout Project implementation	PCO/RTDWGs
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>The Project does not support civil work. Ensure that Project workers are engaged in the implementation of the Project in accordance with ESS2. To this end, implement the following measures:</p> <ol style="list-style-type: none"> 1. Provide Project workers (direct and contracted workers) with information and documentation that is clear and understandable regarding their terms and conditions of employment through written contracts setting out their rights, including, inter alia, rights related to hours of work, wages, overtime, compensation, and benefits, as well as written notice of termination of employment, and details of severance payments, as applicable. 2. Implement occupational health and safety (including personal protective equipment, and emergency preparedness and response) measures, considering the General Environmental, Health and Safety Guidelines (EHSGs), the industry-specific EHSGs and other Good International Industry Practice (GIIP), as relevant. 3. Implement measures, as applicable, to, inter alia: (i) prevent the use of all forms of forced labor and child labor; and (ii) provide effective freedom to form and join workers organizations or alternative mechanisms for expressing their concerns and protect their rights related to labor and working conditions. 4. Develop a code of conduct for workers, which shall include measures to prevent and respond to sexual exploitation and abuse, and sexual harassment (SEA/SH) cases. 5. Incorporate the relevant requirements above in the E&S specifications of the procurement documents and contracts with third parties that engage Project workers in the implementation of the Project. 	Throughout Project implementation	PCO

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	6. Maintain and operate the MoEF workers' grievance mechanism for Project workers and enable workers to know about its existence and benefit from it without fear of retaliation.		
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND			
	Not relevant to the Project	N/A	N/A
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	SEA AND SH RISKS Develop a code of conduct for Project workers, which shall include measures to prevent and respond to sexual exploitation and abuse, and sexual harassment (SEA/SH) cases.	Throughout project implementation	PCO
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	Not relevant to the Project.	N/A	N/A
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL			
	Not relevant to the Project	N/A	N/A
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	Not relevant to the Project	N/A	N/A
ESS 8: CULTURAL HERITAGE			
	Not relevant to the Project	N/A	N/A
ESS 9: FINANCIAL INTERMEDIARIES			
	Not relevant to the Project	N/A	N/A
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN The Project, through TA, will support the implementation of the Program operation. Regular stakeholder engagement is embedded in the Program design and will be critical for both the development of strategies and action plans required to meet the PDO and in the implementation of the same. For the Project, various stakeholders – regional and district/city level governments, technical departments, community members, vulnerable groups (women, youth, people with disabilities, and marginalized groups), Mahallas, civil society organizations, non-governmental organizations, public and private contractors, private sector, etc.– will be engaged and consulted throughout the implementation of Project activities in accordance with ESS10 provisions. Active efforts will be made to seek stakeholders' feedback on the design and implementation of the TA activities and their outcomes. The modes of engagement and the frequency of engagement will be determined by the needs of the Program as well as the needs and interests of different stakeholders. Information about the Project will be made available to stakeholders through the MoEF website. Regional and district/city governments and implementing technical agencies will provide information about the Program to beneficiaries and other	Prepare SEP as a part of POM by Project effectiveness. Implement throughout Project implementation	PCO/RTDWG

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>interested stakeholders through established mechanisms at national, regional, and district/city levels. PCO will submit quarterly reports on the implementation of the Project to the Bank. These reports will contain details of stakeholder engagement activities undertaken during the reporting period and their outcomes.</p> <p>For the Program, PCO will prepare a Stakeholder Engagement Plan (SEP) to implement the following measures:</p> <p>a) Identify relevant stakeholders. b) Provide stakeholders with information about the environmental and social risks and impacts of the Project in a timely, understandable, accessible and appropriate manner and format. c) Consult stakeholders in a way that is free of manipulation, interference, coercion, discrimination and intimidation. d) Document and report the stakeholder engagement activities under the regular reports, as relevant, including: (i) stakeholder identification and/or mapping; (ii) information disclosed; (iii) description of consultations and participation mechanisms utilized, and records of meetings held; (iv) feedback received and responses to said feedback; and (iv) measures to engage stakeholders who, because of their particular circumstances, may be disadvantaged or vulnerable.</p>		
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. Strengthen People’s Reception/GRM at MoEF, regional and district/city hokimiyats (local governments).</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Prepare People’s Reception/GRM SOP as a part of SEP by Project effectiveness. Maintain, implement, and operate throughout Project implementation.</p>	PCO/RTDWG
<p>INDICATORS FOR IMPLEMENTATION READINESS</p> <p>The following actions are indicators for implementation readiness:</p> <p>B. Capacity support to PCO staff on E&S implementation and management in the Program operation, results verification, consultations, communication, etc.</p>			